UNIVERSITY OF KERALA

(Abstract)

Guidelines for submitting applications for review and clearance of University Level Ethics

Committee – Sanctioned – Orders issued.

ACADEMIC E.VI SECTION

No. Ac.EVI/UEC/2016(4)

Dated, Thiruvananthapuram, 14.03.2016

Read: (1) UO No. Ac.L/R/112009/2006 dated 3/1/2007.

- (2) Amendment No.16 to Clause 7(b) of the Regulations relating to Registration for and the award of degree of Doctor of Philosophy.
- (3) UO No.Ac.EVI/UEC/2015 dated 10/4/2015.

ORDER

The recommendations of the University Level Ethics Committee held on 27/02/2016 have been approved by the Vice-Chancellor, subject to reporting to the Syndicate.

Sanction has been accorded by the Vice-Chancellor, subject to reporting to the Syndicate, to the following recommendations of the meeting of the Ethics Committee on 27/02/2016:

- (1) Applications for review and elearance of University Level Ethics Committee are to be submitted to the Chairperson of the respective Doetoral Committee (Head of the University Department, in the case of subjects offered at the University departments and to the respective Dean in the case of subjects which are not offered by the University departments).
- (2) The applications are to be recommended by the Doctoral Committee, after reviewing the proposals for appropriateness of the study and methods used (tools, methods, samples, investigations etc.) and the Chairperson shall forward the application along with other documents and recommendation to the University.
- (3) A certificate from the guide stating that the research proposal needs ethical clearance is to be submitted with the application. The area which requires clearance on ethical aspects should also be specified by the guide.
- (4) Applications are to be submitted in the prescribed format with the specified fee along with the specified proforma detailing the various aspects involved in the research proposal with the signed consent from the research respondent.
- (5) The consent form signed by the research respondent shall invariably contain the following statements:
 - (a) the respondent is aware that it is a study and what the study is about;
 - (b) the study will not affect the privacy/financial status etc. of the respondents:
 - (c) assurance on confidentiality of the information collected is ensured;
 - (d) the respondent is free to withdraw from the study any time he/she pleases.
 - (6) The application shall be accompanied by 10 (ten) copies of the synopsis.
- (7) The matter of cthical elearance related to research work being carried out in the affiliated research centres, in which no such committee functions, will be taken up by the University Level Ethics Committee.
- (8) Applications shall be accompanied by attested copies of PG certificates and marklists, qualifying entrance examinations, copies of joining orders (if issued already; if not, copies of Ph.D applications registered online).

- (9) Interventional studies involving clinical trials will not be reviewed by the Committee.
- (10) The Committee will review only research proposals for Ph.D, PDF and departmental projects from approved research centres of this University where no other Ethics Committee Functions.
 - (11) The Ethies Committee is to be registered.
- (12) Dr. Beena Isaac (Head, Department of Philosophy), Dr. Pushpam (Head, Department of Sociology) and Dr. Annie Abraham (Head, Department of Biochemistry) may be sent for attending the 10 day Training Programme on Ethical issues offered by SCTIMST.

Orders are issued accordingly.

Sd/-REMA M. Deputy Registrar (Acad.II) for Registrar

Copy to

- 1. All Heads of Departments and Research Centres (through email sent through Research Portal)
- 2. The P.S to Vice-Chancellor
- 3. The P.S to Pro Viee-Chancellor
- 4. The P.A to Registrar
- 5. Ac.E1/Ac.EII/Ac.EV/Ac.EVII section
- 6. Computer centre (for uploading in the website)
- 7. Office Copy/File Copy

Forwarded/ By Order,

Section Officer